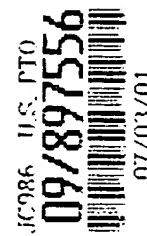


Serial No:

Docket No.: RD-27,987

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: BROCK E. OSBORN, ET AL

Group Art Unit:

Serial No.:

Examiner:

*thf*  
*C. Burra*  
*12-17-01*

Filed:

For: **INTERACTIVE GRAPHICS-BASED ANALYSIS TOOL FOR  
VISUALIZING RELIABILITY OF A SYSTEM AND  
PERFORMING RELIABILITY ANALYSIS THEREON**

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner of Patents  
Washington, DC 20231

SIR:

In accordance with 37 C.F. R. §§1.56, 1.97 and 1.98, Applicant cites the following commonly owned patent application for the above-identified patent application:

<u>SERIAL NO.</u>	<u>FILING DATE</u>	<u>TITLE</u>	<u>INVENTOR(S)</u>	<u>ATTORNEY DOCKET NO.</u>
09/681,652	5/16/01	SYSTEM, METHOD AND COMPUTER PRODUCT FOR PERFORMING AUTOMATED PREDICTIVE RELIABILITY	CM GRAICHEN, ET AL	RD-27,989

Respectfully submitted,

*David Goldman*

DAVID C. GOLDMAN  
PATENT COUNSEL  
REGISTRATION NO: 34,336  
TELEPHONE: (518) 387-5927

DATE: 6/29/01

General Electric Company  
CRD Patent Docket Room 4A59  
PO B x 8, Bldg. K-1 Salamone  
Schenectady, New York 12301  
CUSTOMER NUMBER: 006147

## ORIGINAL/CIP PATENT APPLICATION TRANSMITTAL LETTER

ATTORNEY'S DOCKET NO.  
RD-27,987

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith for filing is the ☒ ORIGINAL ☐ CONTINUATION-IN-PART patent application of:BROCK E. OSBORN, RADU E. NEAGU, ALISSA B. KRUPAR, JOHN E. HERSHEY, KATI (NMN) ILLOUZ,  
CARL H. HANSEN, MELVIN C. EDGAR, JR., JAMES E. DOCKENDORFF AND DONALD L. GARDNER

Inventor(s)

For INTERACTIVE GRAPHICS-BASED ANALYSIS TOOL FOR VISUALIZING RELIABILITY OF A SYSTEM  
AND PERFORMING RELIABILITY ANALYSIS THEREON

(Title of Invention)

☐ This is a Continuation-In-Part of Serial No. \_\_\_\_\_, filed \_\_\_\_\_, Attorney Docket No. \_\_\_\_\_

## ENCLOSED ARE:

- ☒ Specification having 47 total pages.
- ☒ 33 sheets of ☐ formal ☒ informal drawings.
- ☒ Declaration. ☐ Unsigned Declaration.
- ☒ Information Disclosure Statement.
- ☒ Other IDS CITE PATENT APPLICATION
- ☒ An Assignment of the invention to General Electric Company with cover sheet.

The filing fee is calculated below:

	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$710.00
TOTAL CLAIMS	57 - 20 =	37	x \$18.00	\$666.00
INDEPENDENT CLAIMS	13 - 3 =	10	x \$80.00	\$800.00
ADDITIONAL FEE FOR USE OF MULTIPLE DEPENDENT CLAIM(S) (once per application)			x \$260.00	
TOTAL FILING FEE				\$2,176.00

- ☒ Please charge \$2,176.00 to my Deposit Account No. 07-0868.
- ☒ The Assistant Commissioner is hereby authorized to charge payment of all fees required under 37 CFR 1.16 or 1.17 or credit any overpayment to Deposit Account No. 07-0868.

6/29/01

date

David C. GoldmanAttorney DAVID C. GOLDMANReg. No. 34,336

Send Correspondence to:  
General Electric Company  
CRD Patent Docket Rm 4A59  
P.O. Box 8, Bldg. K-1 -Salamone  
Schenectady, New York 12301

Customer Number: 006147

\*Express Mail\* mailing label number \_\_\_\_\_

Date of Deposit \_\_\_\_\_

Three copies of this form are enclosed

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BROCK E. OSBORN, ET AL

: Group Art Unit:

Serial No.:

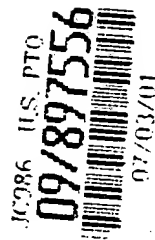
: Examiner:

Filed:

:

For INTERACTIVE GRAPHICS-BASED ANALYSIS  
TOOL FOR VISUALIZING RELIABILITY OF A  
SYSTEM AND PERFORMING RELIABILITY  
ANALYSIS THEREON

:



## INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action] N/C
- ☐ 2 **Statement Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] N/C
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] \$240.00
- ☐ 4 **Petition, Statement & Petition Fee Payment Pursuant to 37 CFR 1.97(d)**  
[before issue fee payment] \$130.00

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required Statement made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

☐ 10 37 CFR §1.97(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 14 below; and

b) The required Statement is stated in item 11 below.

☐ 11 Statement under 37 CFR 1.97(e)

☐ 12 Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ 13 No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

*David Goldman*

June 29, 2001

Date

Attorney - DAVID C. GOLDMAN

Registration No. 34,336

Phone: (518) 387-5927

or (518) 387-7122

**General Electric Company**  
**CRD Patent Docket Rm 4A59**  
**P.O. Box 8, Bldg. K-1 - Salamone**  
**Schenectady, New York 12301**  
**Customer Number: 006147**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

\_\_\_\_\_  
Date of Deposit

\_\_\_\_\_  
Type or Print Name

\_\_\_\_\_  
Signature

FORM PTO-1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RD-27,987

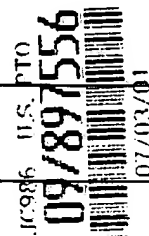
SERIAL NO.

Applicant

BROCK E. OSBORN, ET AL

Filing Date

Group



INFORMATION DISCLOSURE STATEMENT BY APPLICANT--  
LIST OF ITEMS

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	Reliability Block Diagram - RDB [online]. Relex Software Corporation [retrieved on March 9, 2001]. Retrieval from the Internet: <URL: <a href="http://www.reliability-block-diagram.com/">http://www.reliability-block-diagram.com/</a> >.
AS	Optagon [online]. Advantica [retrieved on March 9, 2001]. Retrieved from the Internet: <URL: <a href="http://www.bg-optagon.com/b_optagon.htm">http://www.bg-optagon.com/b_optagon.htm</a> >.
AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.